



PROFESSIONAL CURRENCY DEALERS ASSOCIATION

The leading organization of dealers in Currency, Stocks & Bonds, Fiscal Documents and related paper items

APPLICATION FOR MEMBERSHIP

(SEE OVER AND CHECK ONE)

REGULAR

ASSOCIATE

(REV. 4/14)

*(Please PRINT All Information Clearly – ALL EXCEPT [*] to appear in Membership Directory)*

Applicant's Name _____ * *Full-Time Dealer:* Yes No

Business Name _____ * *Dealer Since:* _____

Address _____ * *State Sales Tax No.:* _____

City _____ *State* _____ *Zip* _____

Phone _____ *Toll Free* _____ *FAX* _____

Web Site: _____ *E-mail Address:* _____

Numismatic Specialties to be Listed in Membership Directory: _____

Statement of Sponsor #1: _____

Signature of Sponsor _____ *Printed Name* _____

Statement of Sponsor _____

Signature of Sponsor _____ *Printed Name* _____

NOTE TO APPLICANT: After having obtained sponsorship statements from two current members in good standing, please forward completed application together with \$100 initiation fee, payable to PCDA (which helps cover the cost of xeroxing expense and postage) to: **James A. Simek, PCDA Secretary, P.O. Box 7157, Westchester, IL 60154.** Dues, currently **\$250** per calendar year for Regular Members and **\$150** for Associate Members, will be prorated for first partial year's membership. Applications will be published to the membership and become effective 30 days after publication following the voting and approval procedures specified in the Constitution and By-Laws of the Association. *Please indicate to the Secretary if there is any of the above information you do not wish to appear in the Directory.*

I have read the PCDA Code of Ethics on the reverse side of this application, and agree to abide by same. (Signed) _____

MEMBERSHIP CATEGORIES

REQUIREMENTS:

REGULAR MEMBERS

- 1) Applicant must be an individual 21 years of age or over and of good moral character and reputation;
- 2) Applicant must derive at least 50% of their income from, or devote at least 50% of their time to, the commercial fields of Currency, stocks and Bonds, Fiscal Documents and/or related paper items;
- 3) Applicant must demonstrate that they have at least three (3) years of commercial experience in the fields of paper money and/or related items;
- 4) Dues for Regular Members are currently \$250 per year.

ASSOCIATE MEMBERS

- 1) Applicant must be an individual 18 years of age or over and of good moral character and reputation;
- 2) Applicant may be an employee of a Regular Member and may remain an Associate Member for as long as they are so employed;
- 3) Applicant must demonstrate that they have some meaningful commercial effect upon the fields of paper money and/or related items;
- 4) Applicant must demonstrate that they have at least two (2) years of commercial experience in the fields of paper money and/or related items;
- 5) Dues for Associate Members are currently \$150 per year.

PCDA CODE OF ETHICS

For the purposes of this Code, the term "material" shall refer to, but not be limited to: paper money, stocks, bonds, scrip, documents and all other items of a syngraphic, fiscal or numismatic nature that make up the usual products in which the members deal and conduct business.

Section 1. TEXT OF CODE.

"As a member of the Professional Currency Dealers Association (PCDA), I pledge:

- (1) To furnish advice on numismatic matters to the best of my ability;
- (2) To deliver material that I sell promptly unless otherwise agreed between myself and my customer;
- (3) To pay for material I have purchased promptly unless otherwise agreed between myself and my customer;
- (4) To refrain from publishing any misrepresentations relating to the prices, quality or guarantees attached to my merchandise or that of my competitors;
- (5) To assist governmental authorities in investigating and prosecuting individuals regarding stolen numismatic material where appropriate;
- (6) To refrain from knowingly dealing in stolen material;
- (7) To refrain from knowingly dealing in spurious material without fully disclosing its status to my customers;
- (8) To grade material accurately to the best of my ability;
- (9) To refrain from any of the following in selling material to retail customers:
 - a) using high pressure sales techniques;
 - b) using misleading performance data;
 - c) intentionally misrepresenting the origin, provenance, pedigree of any material;
 - d) intentionally misrepresenting the genuineness of any item;

- e) intentionally misrepresenting the value of any material using auction prices realized, wholesale trading prices or any other pricing data;
- f) intentionally misrepresenting the investment potential of material;
- g) intentionally misrepresenting an affiliation between myself and any governmental agency;

- (10) To respect all my numismatic contracts with fellow PCDA members, whether written or oral;
- (11) To respect my fellow members' contracts with third parties and not interfere with same;
- (12) To freely exchange non-proprietary information with my fellow members when requested to do so;
- (13) To refrain from intentionally defaming the character of a fellow member or the quality of that member's products or services;
- (14) To publish clearly my return policy for material in advertisements, catalogs, booklets or other places where my material is offered for sale to retail customers."

Section 2. BINDING EFFECT.

This Code of Ethics shall be binding upon all PCDA members and their registered representatives and employees, as well as on any non-member affiliated with any entity that (A) utilizes the PCDA logo; (B) has a bourse table at a PCDA-sponsored convention; or (C) is owned by, or employs, a PCDA member.

Section 3. CONDUCT PREJUDICIAL TO PCDA.

Violation of the Code of Ethics shall constitute conduct prejudicial to the PCDA for purposes of the Association's Constitution and By-Laws (under Article III) and may subject violators to censure, suspension, or expulsion from the PCDA, in addition to any other remedies available under said By-Laws or applicable law.